

Attorney Docket No. YAMAP0738USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: Y. Gotoh et. al
Serial No.: Unknown (Divisional of 09/676,350)
Filed: Herewith
For: INFORMATION RECORDING MEDIUM AND SYSTEM CONTROLLER
Art Unit: Unknown (2651 anticipated)
Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: _____
Filing Date: _____

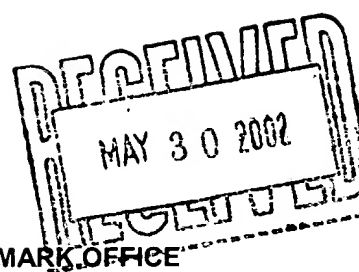
Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ☒ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) ☒ Within 3 months of the filing date, date of entry into the National Stage, or filing date of CPA.
- (b) ☐ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988 for consideration of this Statement.



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- (c) ___ Before the mailing date of a first Office Action on the merits after a first or second submission after final rejection under 37 C.F.R. 1.129(a).
- (d) ___ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
- (1) ___ The required certification is given below, or
- (2) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
- (3) ___ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988
- (e) ___ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
- (1) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p), or
- (2) ___ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988.

4. Certification (if applicable)

- (a) ___ The undersigned hereby certifies that each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) ___ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 18-0988.

Respectfully Submitted,

RENNER, OTTO, BOISSELLE & SKLAR, LLP

By 
Mark D. Saralino, Reg. No. 34,423

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Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty Docket No.: YAMAP0738USA	Serial No.: Unknown
	Applicant: Y. Gotoh et al.	
	Filing Date: Herewith	Group: Unknown

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Subclass	Partial Translation	
							Yes	No
	AL	9 0 6 4 5 0 8	10/8/98	WO				
	AM	0 4 2 8 0 6 1	1/30/92	JP				
	AN	0 0 7 8 5 1 9	3/14/00	JP				
	AO	0 0 1 3 7 2 8	1/14/00	JP				
	AP							

OTHER ART(Including Author, Title, Date, Pertinent Pages, etc.)

	AR		
	AS		
	AT		
EXAMINER		DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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09/001539
06/15/01

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number							Date	Country	Class	Subclass	Partial Translation	
													Yes	No
	AL	0	8	6	6	4	5	6	9/23/98	EP				
	AM	9	9	6	5	0	2	6	12/16/99	WO				
	AN	0	9	0	5	6	9	9	012	EP				
	AO	0	9	0	3	7	4	2	3/24/99	EP				
	AP	0	9	2	9	0	7	2	7/14/99	EP				

OTHER ART(Including Author, Title, Date, Pertinent Pages, etc.)

	AR		"Universal Disk Format Specification", April 3, 1998, Optical Storage Technology Association; XP/002155418 Page 1,141
	AS		"Volume and File Structure for Write-Once and Rewritable Media Using Non-Sequential Recording For Information Interchange", Standard ECMA-167; Third Edition; June 1997; XP/002155419; Pages 1/1,5/8
	AT		European Search Report for application no. 00121575 5,2210; dated January 15, 2001

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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